

# Cortico-Thalamic Connectivity is Vulnerable to Nicotine Exposure During Early Postnatal Development through $\alpha 4/\beta 2/\alpha 5$ Nicotinic Acetylcholine Receptors

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Tobacco smoke exposure during development can result in lasting alterations in sensory processing and attention. This suggests that some constituent of smoke, such as the primary addictive component, nicotine, alters neurodevelopment. Although many effects of developmental nicotine exposure have been identified in humans and animal models, very few mechanistic studies have identified the molecular and anatomical basis for a defined behavioral consequence of developmental exposure. We show in this study that a mouse model of developmental nicotine exposure results in hypersensitive passive avoidance in adulthood. We have used transgenic mice in which  $\beta 2$  subunit containing nicotinic acetylcholine receptors ( $\beta 2^*$  nAChRs) are expressed exclusively on corticothalamic neurons ( $\beta 2$  tr(CT) mice) to identify the receptor subtypes involved and also to define the circuit level site of action responsible for this persistent, nicotine-induced behavioral phenotype. Further characterization of the native nAChRs expressed in this circuit indicates that both  $(\alpha 4)_2(\beta 2)_3$  and  $(\alpha 4)_2(\beta 2)_2\alpha 5$  nAChR subtypes are present in corticothalamic projections. Consistent with a role for  $(\alpha 4)_2(\beta 2)_2\alpha 5$  nAChRs in mediating the effect of developmental nicotine exposure on adult passive avoidance behavior, constitutive deletion of the  $\alpha 5$  nAChR subunit also alters this behavior. A critical period for this developmental consequence of nicotine exposure was defined by limiting exposure to the early post-natal period. Taken together, these studies identify a novel consequence of developmental nicotine exposure in the mouse, define the nAChR subtypes and neural circuit involved in this behavioral change and delimit the neurodevelopmental period critical for vulnerability to a behavioral alteration that persists into adulthood.

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## INTRODUCTION

Recent epidemiological data estimate that between 10.7 and 12.4% of pregnant women in the United States smoke during pregnancy (Martin *et al*, 2007). Children exposed to tobacco smoke exhibit persistent impairments in a variety of cognitive tasks, as well as altered processing of sensory stimuli, suggesting that early tobacco exposure alters neurodevelopment (Heath and Picciotto, 2009). For example, developmental tobacco exposure alters auditory processing (Fried and Makin, 1987; McCartney *et al*, 1994)

with no effect on stimulus detection or auditory brainstem responses (Trammer *et al*, 1992). Although there are likely effects of gestational tobacco exposure on the higher cortical areas responsible for attention and cognitive function (Jacobsen *et al*, 2006, 2007b), alterations may also occur in circuits responsible for early processing and cortical relay of sensory stimuli, such as the thalamocortical and corticothalamic neurons connecting thalamic sensory nuclei to primary sensory cortex (Heath and Picciotto, 2009; Metherate and Hsieh, 2003).

A major psychoactive component of tobacco is nicotine (Stolerman and Jarvis, 1995) which acts through nicotinic acetylcholine receptors (nAChRs) to exert profound effects on neurodevelopment, including the maturation of  $\gamma$ -amino butyric acid (GABA)ergic (Liu *et al*, 2006) and glutamatergic neurons (Maggi *et al*, 2004). In rodents, nicotine exposure during a critical period corresponding to the third trimester of human pregnancy (Dobbing and Sands, 1979) alters maturation of thalamocortical neurons in the

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auditory system and impairs behavior in a task dependent on auditory stimuli (Aramakis *et al*, 2000; Aramakis and Metherate, 1998; Liang *et al*, 2006). Similarly, expression of  $\alpha 4\beta 2$ -containing ( $\alpha 4\beta 2^*$ ) nAChRs on developing corticothalamic neurons is required for normal performance in passive avoidance, a somatosensory stimulus-dependent task (King *et al*, 2003; Picciotto *et al*, 1995).

In this study, we show that developmental nicotine exposure results in hypersensitive passive avoidance behavior. This phenotype is characterized by a significantly increased latency to enter a chamber in which a mild footshock was previously administered. In addition, we identify the native nAChR subtypes and the neuronal circuit upon which nicotine acts during development to induce hypersensitive passive avoidance behavior in adulthood. To identify the circuit altered by developmental nicotine exposure, we tested passive avoidance performance in developmental nicotine-treated transgenic mice with  $\alpha 4\beta 2^*$  nAChR expression exclusively in corticothalamic neurons ( $\beta 2$  tr(CT)). We then performed a detailed biochemical characterization of the native nAChR subtypes expressed in the corticothalamic projections of these animals and identified the relatively rare ( $\alpha 4$ )<sub>2</sub>( $\beta 2$ )<sub>2</sub> $\alpha 5$  nAChR as a predominant subtype expressed in these neurons. The  $\alpha 5$  nAChR subunit alters nAChR conductance, affinity and desensitization kinetics (Girod *et al*, 1999; Kuryatov *et al*, 2008; Ramirez-Latorre *et al*, 1996). To identify a functional role for these  $\alpha 5^*$  nAChRs we also tested passive avoidance performance of  $\alpha 5$  nAChR subunit knockout (KO) mice. Finally, to determine the critical period during which nicotine exposure acts to induce this persistent behavioral phenotype, we conducted a cross-fostering study to limit nicotine exposure to either the prenatal or early postnatal period.

Taken together, this study describes a novel consequence of developmental nicotine exposure in mice which persists long after nicotine exposure has ceased, a characteristic that strongly parallels the deleterious effects observed in humans exposed to tobacco smoke *in utero* (Jacobsen *et al*, 2006, 2007b). Furthermore, these experiments identify both the neuronal circuit and the nAChR subtypes underlying this developmental effect of nicotine exposure and describe a window of vulnerability for the induction of this phenotype.

## MATERIALS AND METHODS

### Mice

All procedures were approved by the Yale University Institutional Animal Care and Use Committee and/or the University of Colorado Animal Utilization Committee and conformed to the standards of the NIH Guide for Care and Use of Laboratory Animals. C57BL/6J mice (Jackson Laboratories, Bar Harbor, ME) used in the developmental nicotine exposure studies acclimated for 1 week, had *ad libitum* food and water and a 12-h light-dark cycle (lights on 0700 hours).  $\beta 2$  tr(CT) mice expressing the  $\beta 2$  nAChR subunit in corticothalamic neurons (King *et al*, 2003),  $\beta 2$  KO mice (Picciotto *et al*, 1995) and  $\alpha 5$  KO mice (Salas *et al*, 2003), all of which have been backcrossed onto the C57BL/6J background for at least 15 generations, were bred from heterozygous (HET) breeding pairs.

## Developmental Nicotine Exposure

After vaginal plug identification, gestating mice were singly housed with *ad libitum* food and water containing 200  $\mu$ g/ml nicotine hydrogen tartrate (calculated as free base) in 2% (w/v) saccharin or pH-matched 2% saccharin with 0.2% (v/v) tartaric acid (Sigma-Aldrich, St Louis, MO). Solutions were prepared twice a week. At 21 days, offspring were weaned and left undisturbed until behavioral testing except for routine husbandry.

## Passive Avoidance Behavior

Passive avoidance testing was conducted using mice >90 days old in a step-through, two chamber apparatus (Ugo Basile, Comerio, Italy) using a 3-day paradigm (King *et al*, 2003). Day 1: mice explored the apparatus for 5 min. Day 2: mice were placed in the light chamber and received a mild, inescapable footshock (0.5 mA, 2 s) after dark chamber entry. Day 3: mice were placed in the light chamber and time to cross into the dark chamber was measured. Latency to cross was measured on days 2 (train) and 3 (test), with a 5 min maximum. On day 3, if mice placed their front paws in the dark chamber but failed to cross before the door between the compartments closed, time of approach was recorded, the door was immediately re-opened and the session continued until dark chamber entry or until the 5 min limit was reached.

## Shock Reactivity Threshold

Unconditioned response to footshock was measured as described (Caldarone *et al*, 2000). Mice received 2 s stimuli ranging from 0.05 to 1.0 mA at 20 s intervals in ascending 0.05 mA steps (0.1 mA steps for  $\alpha 5$  KO mice and their wild-type (WT) controls). Mice were scored for flinch (any observable reaction), vocalization, run and jump responses. The test was terminated when all four reactions had been observed or if 1.0 mA intensity was reached.

## nAChR Subunit *In Situ* Hybridization

*In situ* hybridization for nAChR subunits was performed as described (Marks *et al*, 1992). Details of the methods for hybridization and quantitation can be found in the Supplementary Information.

## Crude Synaptosomal Preparation

Cortical and thalamic synaptosomes were prepared as described (Gotti *et al*, 2008). See Supplementary Information for more details.

## [ $^{86}\text{Rb}^+$ ] Uptake

Synaptosomal [ $^{86}\text{Rb}^+$ ] uptake was performed as described (Gotti *et al*, 2008). See Supplementary Information for more details.

## Synaptosomal ACh-Stimulated [ $^{86}\text{Rb}^+$ ] Efflux

Filters with [ $^{86}\text{Rb}^+$ ]-loaded synaptosomes were placed on an open-air platform and superfused at 22°C with buffer

(135 mM NaCl, 1.5 mM KCl, 5 mM CsCl, 2 mM CaCl<sub>2</sub>, 1 mM MgSO<sub>4</sub>, 20 mM glucose, 1  $\mu$ M atropine, 50 nM tetrodotoxin, 0.1% bovine serum albumin and 25 mM HEPES hemisodium, pH 7.5). Buffer was applied to the top of the filter at a rate of 2.5 ml/min by a Minipuls 3 peristaltic pump (Gilson, Middleton, WI) and removed from the bottom by a second pump at a rate of 3.2 ml/min to actively remove buffer and, therefore, prevent pooling. Radioactivity was continuously monitored by pumping the effluent through a 200  $\mu$ l Cherenkov cell in a  $\beta$ -Ram HPLC detector (IN/US Systems, Tampa, FL).

Data collection began after 5 min of the filter being superfused to ensure basal efflux stability. Concentration-effect curves were generated by exposing filters to a single ACh concentration (0.1–1000  $\mu$ M) for 5 s and curves were generated for both cortex and thalamus from each mouse used.

### Sample Preparation for [<sup>125</sup>I]-Epibatidine Binding

Samples were prepared as described (Marks *et al*, 2004). See Supplementary Information for more details.

### [<sup>125</sup>I]-Epibatidine Binding

Frozen pellets were resuspended in overlying hypotonic buffer (Marks *et al*, 2004) and centrifuged at 20 000 g for 20 min. The supernatant was discarded and pellets were then suspended in ice-cold water. The volume used was adjusted such that less than 10% of the [<sup>125</sup>I]-epibatidine was bound to the protein at the highest ligand concentration. Samples were incubated for 3 h at room temperature in 96-well polystyrene plates in a final volume of 30  $\mu$ l. After incubation, 200  $\mu$ l ice-cold wash buffer was added to each sample and the diluted samples were then filtered through glass fiber filters (top = MFS type B; bottom = Gelman A/E) treated with 0.5% polyethelenimine under 0.2-atmosphere vacuum. Samples were collected by an Inotech Cell Harvester (Inotech Biosystems, Rockville, MD) and washed with ice-cold buffer five times. Filters containing the samples were placed in glass culture tubes and radioactivity was measured at 80% efficiency by a Packard Cobra Auto-Gamma Counter (Packard Instruments, Downers Grove, IL). For all experiments, 100  $\mu$ M nicotine was added to measure non-specific binding.

Saturation binding curves were generated for cortex and thalamus by measuring specific binding at eight [<sup>125</sup>I]-epibatidine concentrations. Protein concentration was measured using the method of Lowry (Lowry *et al*, 1951) with bovine serum albumin standards.

### Antibody Production and Characterization

The nAChR subunit-specific rabbit polyclonal antibodies (Abs) used were produced by immunization with peptides derived from the rat, mouse or human subunit intracytoplasmic loop or C-terminal domain sequences. To determine the contribution of the  $\alpha$ 5 subunit to the nAChRs investigated in this study, we generated an antiserum specifically directed against a mouse  $\alpha$ 5 subunit cytoplasmic peptide (DRYFTQREEAEKDGPKSRNTLEAALDC) that was used in parallel with the anti- $\alpha$ 5 rat-directed antiserum. This new antiserum was tested for specificity in extracts

obtained from cortex and hippocampus of  $\alpha$ 5 KO mice and failed to immunoprecipitate significant amounts (less than 1%) of [<sup>3</sup>H]-epibatidine-labeled receptors. All these antibodies were affinity purified and have been characterized previously (Champtiaux *et al*, 2003; Gotti *et al*, 2005a,b; Moretti *et al*, 2004; Zoli *et al*, 2002).

Antibody specificity and immunoprecipitation capacity was examined by immunoprecipitation or immunopurification of nAChR subunits from brain tissue collected from WT and various nAChR subunit KO mice. Specificity was also verified by Western blotting. Verification of specificity is required because this characteristic is both sequence- (a single peptide can generate Abs with different levels of specificity between rabbits) and time-related (specificity can vary within the same rabbit).

### Membrane and 2% Triton X-100 Extract Preparation

Membrane and 2% Triton X-100 extracts were prepared as described (Gotti *et al*, 2005a,b, 2008). See Supplementary Information for further details.

### [<sup>3</sup>H]-Epibatidine Binding

All experiments were conducted in the presence of 2  $\mu$ M  $\alpha$ -bungarotoxin to prevent  $\alpha$ 7\* nAChR binding. Cortical and thalamic homogenates were incubated overnight with 2 nM [<sup>3</sup>H]-epibatidine at 4°C. Non-specific binding was determined in parallel by including 100 nM unlabeled epibatidine in the incubation. Following incubation, samples were filtered through a 0.5% polyethylenimine-treated GFC filter, washed with 15 ml buffer (10 mM sodium-phosphate, pH 7.4 and 50 mM NaCl) and radioactivity was counted in a beta counter.

Triton X-100 extracts were also labeled with 2 nM [<sup>3</sup>H]-epibatidine and binding was performed using DE52 ion-exchange resin (Whatman, Maidstone, UK) as described (Vailati *et al*, 1999).

### Quantitative Immunoprecipitation of [<sup>3</sup>H]-Epibatidine-Labeled Receptors by Subunit-Specific Antibodies

Cortical and thalamic extracts (100–150  $\mu$ l) were labeled with 2 nM [<sup>3</sup>H]-epibatidine, and were then incubated overnight with saturating concentrations of affinity-purified anti-subunit immunoglobulin G. Immunoprecipitates were recovered by incubation with anti-rabbit goat immunoglobulin G beads (Technogenetics, Milan, Italy). The amount of immunoprecipitation with each antibody is expressed as the percentage of [<sup>3</sup>H]-epibatidine labeled receptors precipitated (the initial amount present in the Triton X-100 extract taken as 100%) or as femtomoles of immunoprecipitated receptor per milligram protein.

### Western Blotting

Western blotting was performed as described (Gotti *et al*, 2008). See Supplementary Information for more details.

### $\beta$ 2 nAChR Subunit Binding

Brains from  $\beta$ 2 tr(CT) transgenic mice and their WT siblings (P1, P7 and P14) and  $\beta$ 2 nAChR subunit KO mice

(P14) were frozen on dry ice and stored at  $-80^{\circ}\text{C}$ .  $12\ \mu\text{m}$  sections were cut on a cryostat, thaw mounted on chrom-alum-coated slides (0.5% chromium (III) phosphate/0.5% gelatin), dried at room temperature for 20 min and stored at  $-80^{\circ}\text{C}$ . Sections were thawed at room temperature and incubated with [ $^{125}\text{I}$ ]-epibatidine for 30 min in 50 mM Tris-HCl pH7.4, washed twice in the same buffer, dried and exposed to [ $^3\text{H}$ ]-Hyperfilm for 2–7 days.

### Statistical Analysis

Analyses of pre- and post-natal drug treatment data in C57BL/6J mice were performed between litters to minimize potential litter effects (Holson and Pearce, 1992). Litters with a minimum of four mice were used for testing (two of each sex). For the cross-fostering experiment, we required at least one member of each representative group to be included (male/female, non-cross fostered/cross-fostered). Passive avoidance data were analyzed by analysis of variance; shock reactivity data were analyzed by Mann-Whitney *U*-test. *In situ* hybridization, radioligand binding and quantitative immunoprecipitation data were analyzed by One-Way analysis of variance followed by Duncan *post hoc* test. A *p*-value of  $<0.05$  was considered significant. Data are presented as means  $\pm$  standard error of the mean.

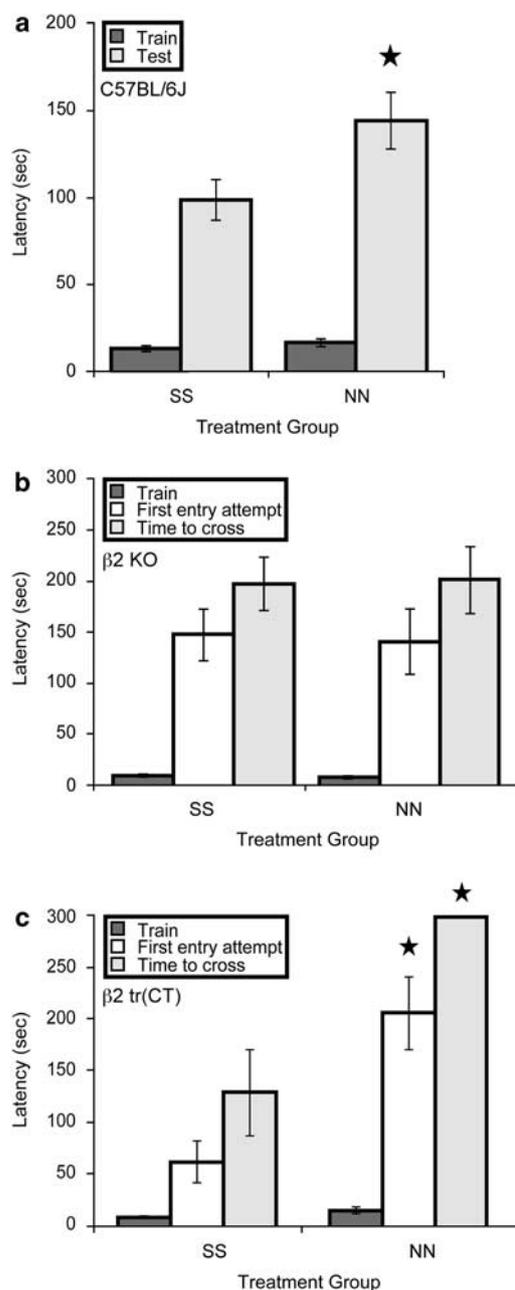
## RESULTS

### Nicotine Exposure During Development Induces Hypersensitivity in the Passive Avoidance Paradigm through Corticothalamic $\beta 2^*$ nAChRs

Adult C57BL/6J mice exposed to nicotine from conception until weaning at 21 days of age exhibited hypersensitive passive avoidance behavior, as shown by their increased latency to enter the chamber in which the shock was administered, compared with saccharin-exposed mice (Figure 1a). As  $\beta 2$  nAChR subunit KO mice also show hypersensitive passive avoidance (Picciotto *et al*, 1995), this suggests that chronic nicotine exposure may interfere with the function of  $\beta 2^*$  nAChRs during development, either through receptor desensitization or interference with the temporal dynamics of acetylcholine signaling at the receptors, to result in this phenotype in adulthood. Developmental nicotine exposure had no significant effect on latency to enter the dark chamber on training day (Figure 1a).

To confirm that  $\beta 2^*$  nAChRs mediate the effects of perinatal nicotine exposure on passive avoidance performance, KO mice lacking  $\beta 2$  nAChR subunit expression were also exposed to nicotine from conception until weaning and tested for passive avoidance as adults. No significant effects of developmental nicotine exposure on passive avoidance were observed in these mice (Figure 1b). It is unlikely that a ceiling effect explains the absence of a nicotine exposure-induced increase in passive avoidance latency in  $\beta 2$  KO mice as the maximum latency permitted in this task was 300 s and the average latency in both treatment groups was well below this threshold (Figure 1b). This suggests that  $\beta 2$  subunit containing nAChRs are required for passive avoidance modulation by perinatal nicotine exposure.

We have shown previously that the expression of  $\alpha 4\beta 2^*$  nAChRs on corticothalamic neurons during development



**Figure 1** Nicotine exposure during development induces hypersensitive passive avoidance through  $\beta 2^*$  nAChRs expressed by corticothalamic neurons. (a) C57BL/6J mice exposed to nicotine throughout gestation until weaning (NN) have a significantly longer latency to enter the dark chamber in response to a low level foot shock relative to saccharin-exposed controls (SS) ( $F(1, 12) = 5.096$ ,  $p = 0.043$ ;  $n = 7$  litters per treatment). (b)  $\beta 2$  nAChR subunit knockout (KO) mice exposed to nicotine throughout gestation until weaning (NN) are indistinguishable ( $p > 0.05$ ) from saccharin-exposed controls (SS) when latency to first entry attempt and latency to successful dark chamber entry are compared ( $n = 15$ – $24$  per group). (c) Mice expressing  $\alpha 4\beta 2^*$  nAChRs exclusively on corticothalamic neurons ( $\beta 2$  tr(CT)) and exposed to nicotine throughout gestation until weaning (NN) have a significantly longer time to first entry attempt ( $F(1, 13) = 11.857$ ,  $p = 0.004$ ) and total time to successful dark chamber entry ( $F(1, 13) = 18.885$ ,  $p = 0.001$ ) relative to saccharin-exposed controls (SS) ( $n = 7$ – $8$  per treatment). \* $P < 0.05$ .

rescues the hypersensitive passive avoidance phenotype observed in  $\beta 2$  nAChR subunit KO mice (King *et al*, 2003); we, therefore, hypothesized that the hypersensitive passive

avoidance behavior observed after developmental nicotine exposure is mediated through effects on  $\alpha 4\beta 2^*$  nAChRs exclusively on corticothalamic neurons. To test this hypothesis,  $\beta 2$  tr(CT) transgenic mice that express  $\alpha 4\beta 2^*$  nAChRs exclusively on corticothalamic neurons were exposed to nicotine throughout gestation and postnatally until weaning and tested in passive avoidance as adults. This nicotine exposure resulted in hypersensitive passive avoidance performance in the  $\beta 2$  tr(CT) mice suggesting that expression of  $\alpha 4\beta 2^*$  nAChRs on corticothalamic neurons is sufficient to mediate the effects of developmental nicotine on adult passive avoidance behavior (Figure 1c).

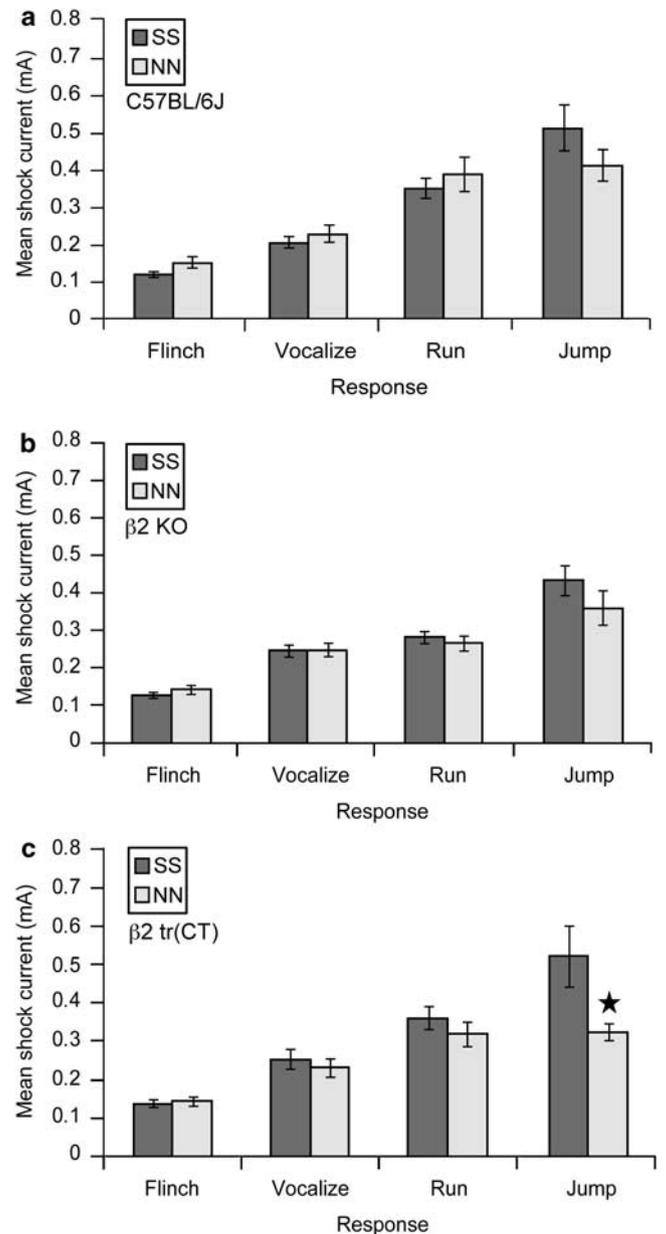
### Developmental Nicotine Exposure does not Induce Consistent Alterations in Foot Shock Sensitivity

To confirm that the changes in passive avoidance behavior observed were not a consequence of altered sensitivity to the foot shock stimulus used, the shock current thresholds required to elicit a number of behavioral responses were determined for developmental nicotine-exposed C57BL/6J,  $\beta 2$  KO and  $\beta 2$  tr(CT) mice and their respective controls.

No significant differences in any of the shock reactivity parameters assessed were observed between nicotine- and saccharin-exposed C57BL/6J (Figure 2a) or  $\beta 2$  KO (Figure 2b) mice. A small, but significant decrease in the threshold for jump responses (Mann–Whitney  $U=9.000$ ;  $p=0.027$ ;  $n=7-8$  per group) was observed in the nicotine-exposed  $\beta 2$  tr(CT) group relative to the saccharin-exposed controls (Figure 2c). All other shock reactivity parameters tested were identical between these groups (Figure 2c). These data suggest that the effects of developmental nicotine exposure on passive avoidance behavior are not a consequence of changes in the detection of sensory stimuli.

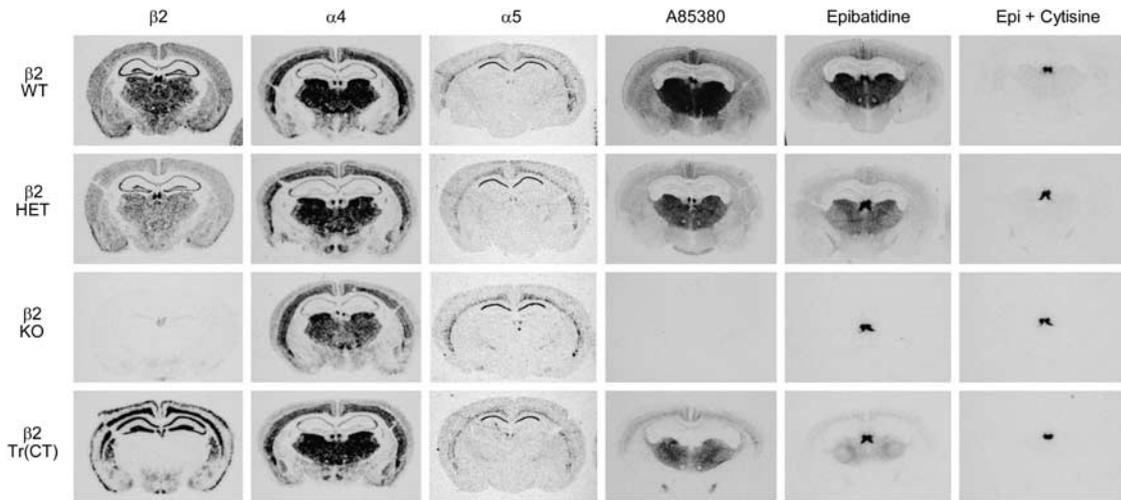
### $(\alpha 4)_2(\beta 2)_3$ and $(\alpha 4)_2(\beta 2)_2\alpha 5$ nAChRs are Expressed in the Thalamo-Cortico-Thalamic Circuitry of $\beta 2$ tr(CT) Mice

As  $\beta 2$  tr(CT) mice demonstrate sensitivity to the effects of developmental nicotine on passive avoidance performance, it was important to identify the nAChR subtypes re-expressed in these animals more precisely and to define their neuroanatomical location and sites of function. The images in Figure 3 show the expression of mRNAs encoding the  $\beta 2$ ,  $\alpha 4$  and  $\alpha 5$  nAChR subunits by *in situ* hybridization to illustrate the effects of  $\beta 2$  subunit deletion in HET and KO mice and restoration in  $\beta 2$  tr(CT) transgenic mice. Deletion of the  $\beta 2$  subunit resulted in a gene-dosage dependent reduction in the amount of  $\beta 2$  mRNA with virtual elimination of message in the brains of  $\beta 2$  KO mice (Figure 4). Deletion of the  $\beta 2$  subunit had no significant effect on the expression of mRNAs encoding either the  $\alpha 4$  or  $\alpha 5$  subunits in the brain areas quantitated. This analysis also confirmed that the pattern of re-expression of the mRNA encoding the  $\beta 2$  nAChR subunit in  $\beta 2$  tr(CT) mice corresponds to that previously reported (King et al, 2003). Quantitation of mRNA levels revealed that little or no re-expression occurred in thalamic nuclei or fimbria. However, mRNA levels in the outer cortical layers were restored to WT levels and expression in the inner cortical layers, primarily layer VI, was greater than that of WT mice. It should be noted that mRNA encoding both  $\alpha 4$  and  $\alpha 5$



**Figure 2** Nicotine exposure during development does not induce consistent differences in the shock reactivity thresholds of C57BL/6J,  $\beta 2$  KO or  $\beta 2$  tr(CT) mice. (a) C57BL/6J mice exposed to nicotine throughout gestation until weaning (NN) exhibit flinch, run, vocalize and jump foot shock responses at currents that were not significantly different ( $p>0.05$ ) from saccharin-exposed controls (SS) ( $n=15-16$  per group). (b) No significant differences ( $p>0.05$ ) in flinch, run, vocalize or jump foot shock response thresholds were detected between nicotine exposed (NN) KO mice and saccharin-exposed controls (SS) ( $n=15-24$  per group). (c) Nicotine exposed (NN)  $\beta 2$  tr(CT) mice exhibit flinch, run and vocalize foot shock responses at currents not significantly different ( $p>0.05$ ) from saccharin-exposed controls (SS). A significant decrease in the NN jump response threshold (Mann–Whitney  $U=9.000$ ;  $p=0.027$ ;  $n=7-8$  per group) was detected. \* $P<0.05$ .

subunits are co-localized with mRNA encoding  $\beta 2$  in the deep cortical layers. As heteromeric nAChRs are limited in number by assembly of multiple subunits, the number of assembled nAChRs in layer VI of  $\beta 2$  tr(CT) mice is likely to be limited by the expression of the  $\alpha 4$  and  $\alpha 5$  subunits.



**Figure 3** nAChR subunit mRNA expression patterns and radioligand binding identifies assembly of  $\alpha 5$  subunit containing  $\alpha 4\beta 2$  nAChRs in the thalamo-cortico-thalamic circuitry of the mouse. *In-situ* hybridization for  $\beta 2$ ,  $\alpha 4$  and  $\alpha 5$  nAChR subunit expression in comparable coronal sections taken from wild type (WT), heterozygous (HET),  $\beta 2$  nAChR subunit knockout (KO) and corticothalamic transgenic (Tr(CT)) mice. HET, KO and Tr(CT) mice exhibit alterations in  $\beta 2$  mRNA expression with no significant changes in the  $\alpha 4$  or  $\alpha 5$  subunits. A85380 and epibatidine binding on comparable coronal sections from these animals also show differences in the numbers of assembled nAChRs in these mice. Epibatidine binding is eliminated in the presence of cytosine (Epi + cytosine) and corresponds to the binding of A-85380 in these brain regions. Representative sections at a level of approximately  $-1.6$  mm Bregma are shown.

The effect of  $\beta 2$  subunit re-expression in  $\beta 2$  tr(CT) mice on nAChR binding sites was measured with both [ $^{125}$ I]-A85380 and [ $^{125}$ I]-epibatidine, ligands that recognize assembled nAChR receptors. Images in Figure 3 illustrate the effects of modifying  $\beta 2$  gene expression on these binding sites. Quantitation of these effects is summarized in Figure 4. Deletion of the  $\beta 2$  subunit resulted in a gene-dosage dependent reduction in [ $^{125}$ I]-A85380 binding. No specific binding was observed in brains of  $\beta 2$  KO mice. A similar gene-dosage dependent reduction was observed for [ $^{125}$ I]-epibatidine binding with the exception of labeling in the medial habenula in these sections. The residual habenular binding sites that also persist in the presence of 50 nM cytosine represent  $\beta 4^*$  nAChRs. Both [ $^{125}$ I]-A85380 and [ $^{125}$ I]-epibatidine binding sites are partially restored in the inner and outer cortical layers of  $\beta 2$  tr(CT) mice, in which  $\beta 2$  mRNA is re-expressed. Furthermore, there was significant re-expression of [ $^{125}$ I]-A85380 and [ $^{125}$ I]-epibatidine binding sites in each thalamic region analyzed, as well as in the fimbria, regions in which  $\beta 2$  mRNA was undetectable in the  $\beta 2$  tr(CT) mice. These results are also consistent with those reported previously for the  $\beta 2$  subunit (King *et al*, 2003), and the binding sites that represent assembled nAChRs located on corticothalamic projections.

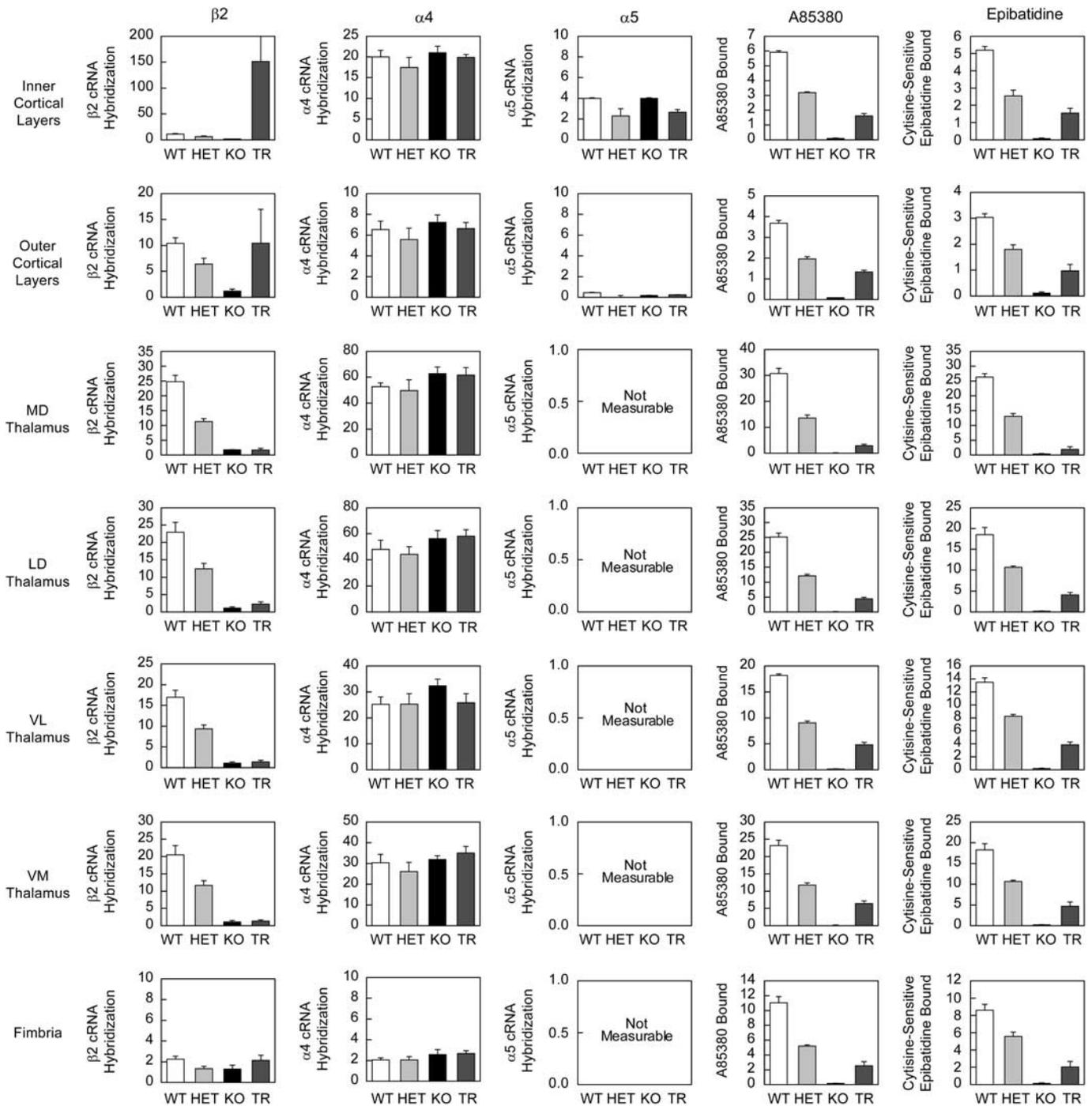
As noted above, *in situ* hybridization demonstrated that mRNA encoding the  $\alpha 5$  subunit has a much more restricted expression than mRNAs encoding either  $\beta 2$  or  $\alpha 4$  (Figure 3); however,  $\alpha 5$  mRNA is expressed in cortical layer VI in which both  $\beta 2$  and  $\alpha 4$  are also expressed and where intense re-expression of  $\beta 2$  mRNA occurs in the  $\beta 2$  tr(CT) mice. The co-expression of  $\alpha 4$ ,  $\alpha 5$  and  $\beta 2$  mRNA in cortical layer VI suggests that a mixed population of native  $\alpha 4\beta 2^*$  nAChRs with different subunit composition and/or stoichiometries exists in the cortical cell bodies or on the corticothalamic terminals. The composition of native

nAChRs in the thalamo-cortico-thalamic circuit was, therefore, evaluated by quantitative immunoprecipitation of cortical and thalamic [ $^3$ H]-epibatidine binding sites using a panel of nAChR subunit-specific antibodies (Champtiaux *et al*, 2003; Gotti *et al*, 2005a, b; Moretti *et al*, 2004; Zoli *et al*, 2002). As [ $^3$ H]-epibatidine binding was minimal in the  $\beta 2$  KO mice, tissue from these animals was not examined by immunoprecipitation.

Consistent with the requirement of  $\beta 2$  expression for the assembly of receptors measured by [ $^3$ H]-epibatidine binding, immunoprecipitation of nAChRs from cortex and thalamus of WT mice demonstrated that [ $^3$ H]-epibatidine binding sites in the cortico-thalamic loops were quantitatively precipitated by  $\alpha 4$ - and  $\beta 2$ -subunit selective antisera. A small, but significant, fraction of both the thalamic (10%; Figure 5) and cortical (15%; Figure 6) nAChRs were precipitated by three different  $\alpha 5$ -subunit selective antibodies.

In the  $\beta 2$  HET mice, total [ $^3$ H]-epibatidine binding was significantly reduced. As was the case with tissue from WT mice, all [ $^3$ H]-epibatidine binding sites in the cortex and thalamus of the  $\beta 2$  HET mice were completely precipitated by the  $\beta 2$ - and  $\alpha 4$ -subunit selective antibodies and the fraction of sites precipitated by the  $\alpha 5$ -subunit selective antibodies was the same as that for WT tissue.

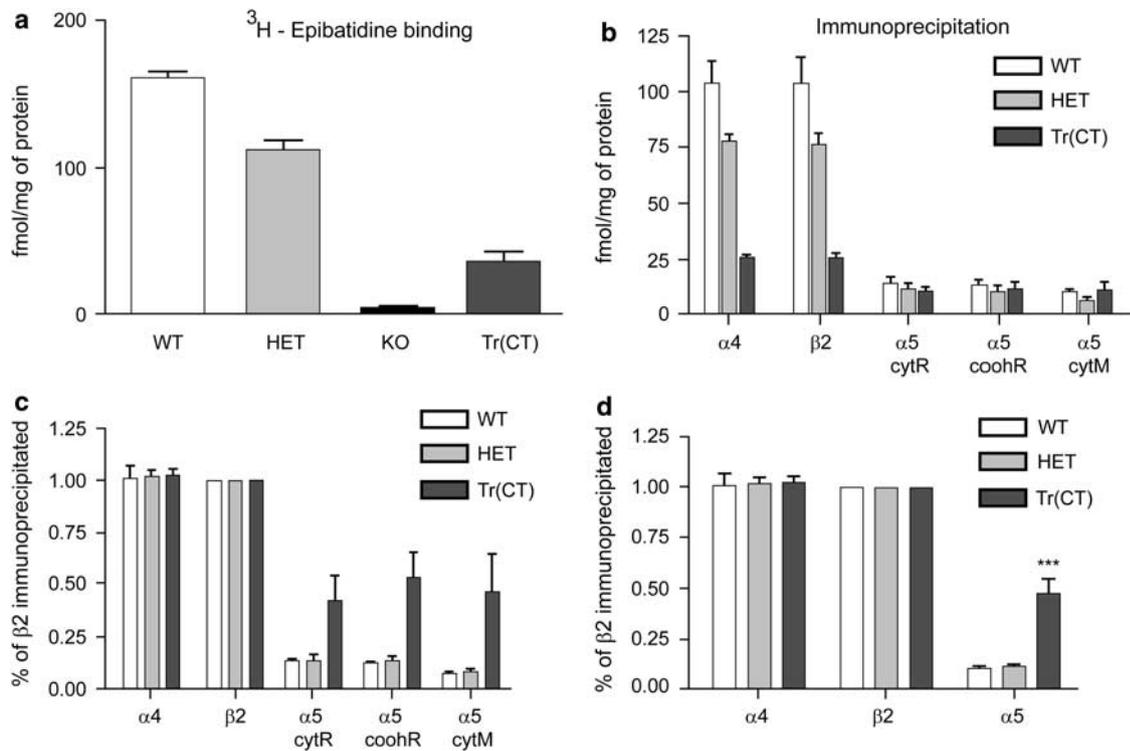
Examination of native nAChRs from  $\beta 2$  tr(CT) transgenic mice using this approach indicated that cortical and thalamic [ $^3$ H]-epibatidine binding sites were indistinguishable from those observed in WT animals and contained the  $\alpha 4$  and  $\beta 2$  nAChR subunits (Figures 5 and 6). This analysis also revealed that a significantly larger proportion of [ $^3$ H]-epibatidine binding sites in  $\beta 2$  tr(CT) mice contained the  $\alpha 5$  nAChR subunit ( $\sim 50\%$  of nAChRs in both cortex and thalamus), suggesting that the  $\beta 2$  subunit was selectively rescued in  $\alpha 5$ -expressing neurons from  $\beta 2$  tr(CT) mice (Figures 5 and 6).



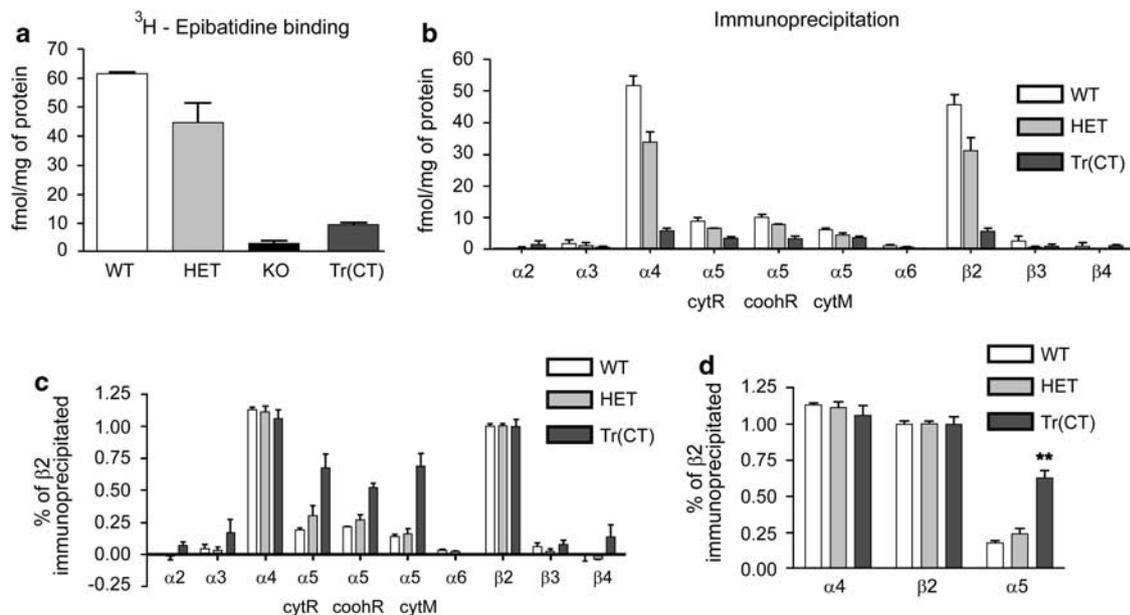
**Figure 4** Quantitation of nAChR subunit mRNA expression and radioligand binding in the thalamo-cortico-thalamic circuitry of the mouse. *In-situ* hybridization for  $\beta 2$ ,  $\alpha 4$  and  $\alpha 5$  nAChR subunit expression in comparable coronal sections taken from wild type (WT), heterozygous (HET) and  $\beta 2$  nAChR subunit knock out (KO) mice. HET and KO mice exhibit a marked reduction in  $\beta 2$  mRNA expression with no significant alteration in the  $\alpha 4$  or  $\alpha 5$  subunits. Analysis of subunit expression in the corticothalamic transgenic mice (TR) indicates that  $\beta 2$  mRNA expression is enhanced in deep cortical layers, at WT levels in superficial layers and at KO levels in all other areas analyzed.  $\alpha 4$  and  $\alpha 5$  expression is at approximately WT levels in the transgenic animals. A85380 and epibatidine binding in these animals indicates a partial recovery of assembled nAChRs in the TR mice, relative to  $\beta 2$  knockout animals. Epibatidine binding is eliminated in the presence of cytisine (Epi + cytisine) and corresponds to the binding of A-85380 in these brain regions.

The expression of the  $\alpha 5$  nAChR subunit in deep layers of cortex along with the  $\alpha 4$  and  $\beta 2$  subunits (Figures 3 and 4) and the results from the immunoprecipitation experiments (Figures 5 and 6) suggested that a mixed population of native  $\alpha 4\beta 2^*$  nAChR stoichiometries might be present in the corticothalamic projection neurons of WT, HET and  $\beta 2$  tr(CT)

mice. To assess the characteristics of the nAChRs expressed in the thalamo-cortico-thalamic circuitry further and to determine the effect of  $\beta 2$  nAChR subunit genotype on the terminal nAChRs in cortical and thalamic regions, we collected acetylcholine (ACh)-stimulated [ $^{86}\text{Rb}^+$ ] efflux data from thalamic (Figure 7a) and cortical (Figure 8a) synaptosomes.



**Figure 5** Quantitative immunoprecipitation of thalamic-epibatidine binding sites with nAChR subunit-specific antibodies indicates a preferential recovery of  $\alpha 5$  containing nAChRs in tr(CT) mice. (a) [ $^3$ H]-epibatidine thalamic binding in wild type (WT), heterozygous (HET),  $\beta 2$  knockout (KO) and tr(CT) mice indicates partial recovery in the tr(CT) line relative to KO. (b) Immunoprecipitation of  $\alpha 4$ ,  $\beta 2$  and  $\alpha 5$  nAChR subunits from thalamic samples of each genotype expressed as femtomoles of immunoprecipitated [ $^3$ H]-epibatidine-labeled nAChR per milligram of protein. Antibodies raised against the cytoplasmic loop of the rat (cytR), carboxyl terminal region of the rat (coohR) and cytoplasmic loop of the mouse (cytM)  $\alpha 5$  nAChR subunit were used. (c) Immunoprecipitation results expressed with  $\beta 2$  subunit levels taken as 100%. (d) Immunoprecipitation results expressed with  $\beta 2$  subunit levels taken as 100% and with data from the three  $\alpha 5$  subunit antibodies combined, which indicates a significantly ( $***p < 0.001$ ) higher proportion of  $\beta 2^*$  nAChRs in the tr(CT) thalamus also contain the  $\alpha 5$  subunit relative to the other genotypes.



**Figure 6** Quantitative immunoprecipitation of cortical-epibatidine binding sites with nAChR subunit-specific antibodies indicates a preferential recovery of  $\alpha 5$  containing nAChRs in tr(CT) mice. (a) Cortical [ $^3$ H]-epibatidine binding in wild type (WT), heterozygous (HET),  $\beta 2$  knockout (KO) and tr(CT) mice indicates partial recovery of binding in the tr(CT) line relative to KO. (b) Immunoprecipitation of a panel of nAChR subunits from cortical samples of each genotype expressed as femtomoles of immunoprecipitated [ $^3$ H]-epibatidine-labeled nAChR per milligram of protein. Antibodies raised against the cytoplasmic loop of the rat (cytR), carboxyl terminal region of the rat (coohR) and cytoplasmic loop of the mouse (cytM)  $\alpha 5$  nAChR subunit were used. (c) Immunoprecipitation results expressed with  $\beta 2$  subunit levels taken as 100%. (d) Immunoprecipitation results expressed with  $\beta 2$  subunit levels taken as 100% and with data from the three  $\alpha 5$  subunit antibodies combined, which indicates a significantly ( $**p < 0.001$ ) higher proportion of  $\beta 2^*$  nAChRs in the tr(CT) cortex also contain the  $\alpha 5$  subunit relative to the other genotypes.

Thalamic synaptosomes prepared from WT mice yielded a biphasic ACh concentration-response curve, with estimated  $EC_{50}$  values of 1.7 and  $56 \mu\text{M}$  for the components with higher sensitivity and lower sensitivity for ACh stimulation, respectively (Figure 7a). Thalamic synaptosomes from  $\beta 2$  HET mice also yielded a biphasic concentration-response curve with  $EC_{50}$  values of  $2.5 \mu\text{M}$  (higher sensitivity) and  $58 \mu\text{M}$  (lower sensitivity), but the maximal efflux observed was significantly lower than that of WT mice (Figure 7a). ACh-stimulated efflux was virtually eliminated in thalamic synaptosomes prepared from  $\beta 2$  nAChR subunit KO mice (Figure 7a).

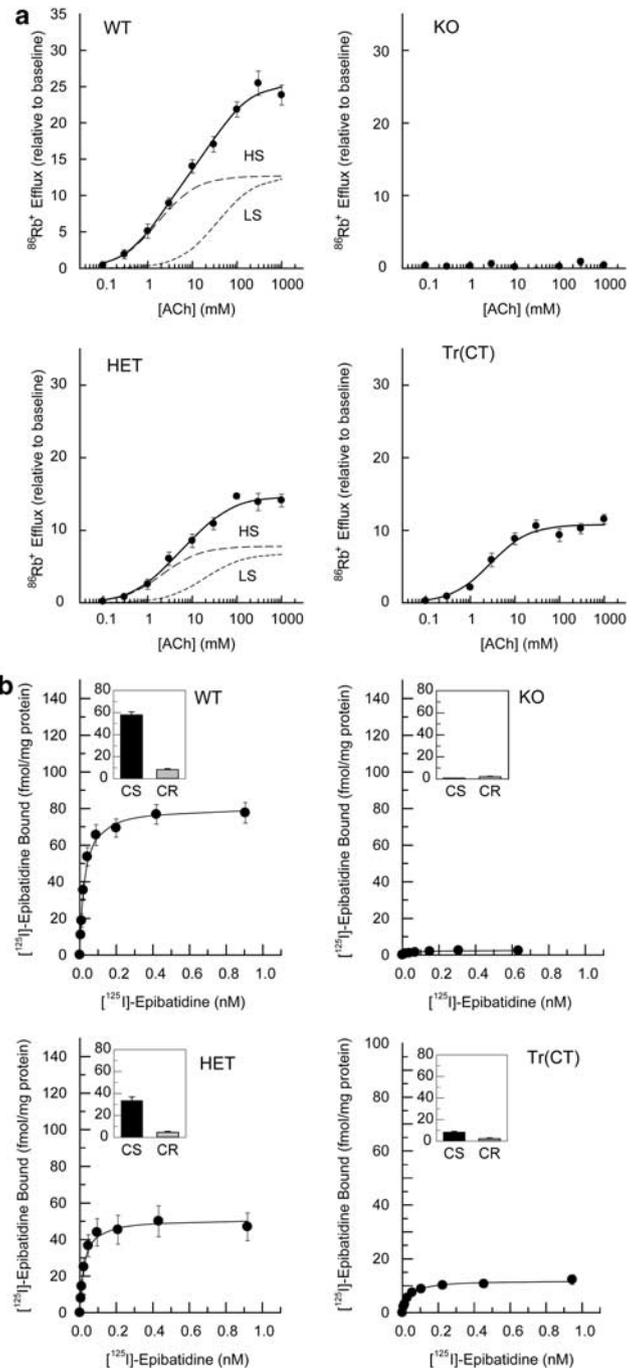
In contrast to the concentration-response curves for WT and HET thalamic synaptosomes, the concentration-response curve for  $\beta 2$  tr(CT) thalamic synaptosomes exhibited only an higher sensitivity ACh component ( $EC_{50} = 2.8 \mu\text{M}$ ) (Figure 7a), consistent with the expression of nAChR subtypes activated by relatively low ACh concentrations (Brown et al, 2007; Gotti et al, 2008; Marks et al, 2007), including the  $(\alpha 4)_2(\beta 2)_3$  and  $(\alpha 4)_2(\beta 2)_2\alpha 5$  nAChR subtypes, in corticothalamic projection neurons. Further, the maximal higher sensitivity-mediated efflux from the  $\beta 2$  tr(CT) thalamic synaptosomes (10.8 units) was comparable to that observed in WT synaptosomes (12.7 units), indicating that the highest sensitivity class of nAChRs rescued in the corticothalamic neurons of the  $\beta 2$  tr(CT) transgenic mice was nearly as active as the high sensitivity class in WT mice (Figure 7a).

Cortical synaptosomes derived from WT and HET mice also yielded biphasic ACh concentration-effect curves, with  $EC_{50}$  values of 2.6 and  $80 \mu\text{M}$  calculated for the WT components with higher and lower ACh sensitivity, respectively, and with corresponding  $EC_{50}$  values of 1.6 and  $87 \mu\text{M}$  for the HET components (Figure 8a). Efflux was eliminated in  $\beta 2$  KO cortical synaptosomes (Figure 8a). Consistent with selective expression of the  $\beta 2$  nAChR subunit in corticothalamic projection neurons, [ $^{86}\text{Rb}^+$ ] efflux from  $\beta 2$  tr(CT) cortical synaptosomes indicated that there was little rescue (<20%) of nAChR function in neurons with terminals in the cortical regions of these mice (Figure 8a), although this rescued activity displayed high sensitivity to ACh stimulation ( $EC_{50} = 0.3 \mu\text{M}$ ).

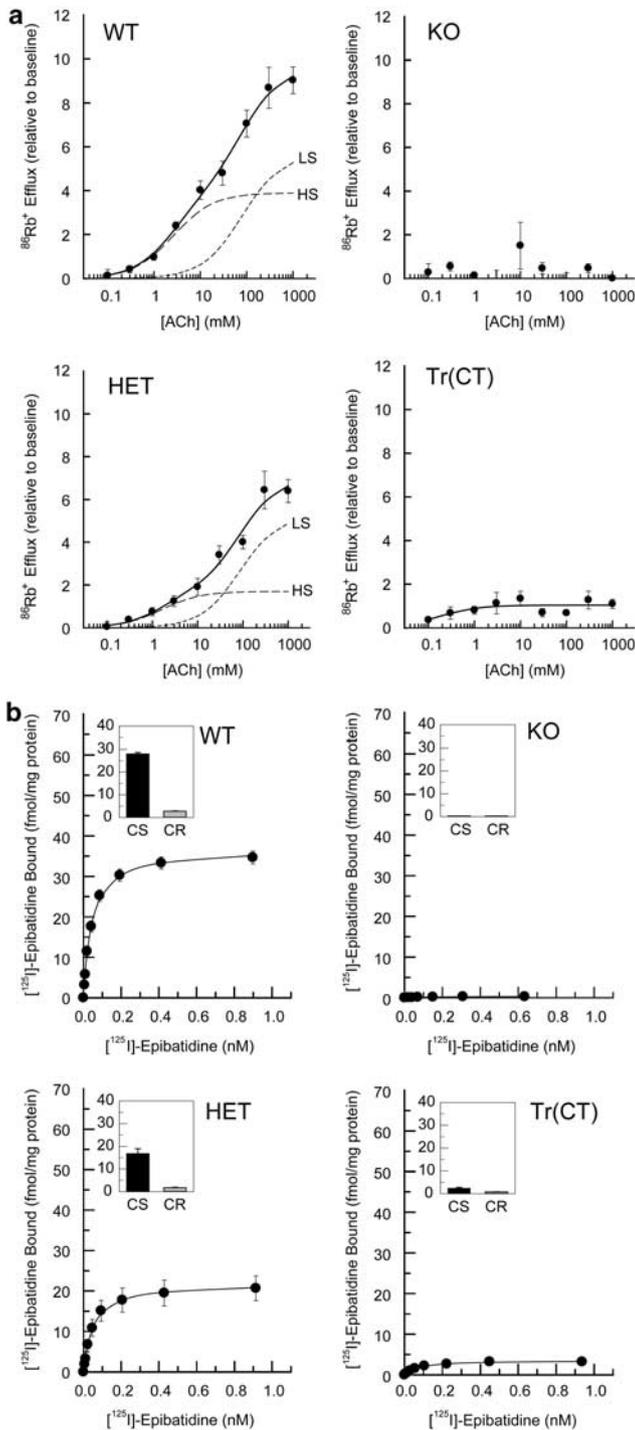
[ $^{125}\text{I}$ ]-epibatidine binding analysis of particulate fractions derived from the thalamic and cortical synaptosomes used in these [ $^{86}\text{Rb}^+$ ] efflux experiments (Figures 7b and 8b) confirmed that the relative levels of nAChRs present in these preparations were similar to those determined by both binding in coronal sections (Figures 3 and 4) and in the solubilized receptors used for immunoprecipitation between the genotypes examined (Figures 5 and 6), emphasizing that there were no significant changes in the properties of nAChRs derived from tissue slices as compared with brain homogenates or synaptosome preparations.

### Elimination of $\alpha 5$ nAChR Subunit Expression can Modulate Passive Avoidance Behavior

The finding that nAChR expression in  $\beta 2$  tr(CT) mice is rescued in  $\alpha 5$  subunit-expressing cortical neurons was of interest as the  $\alpha 5$  nAChR subunit modulates the functional properties of the nAChRs with which it co-assembles (Girod et al, 1999; Kuryatov et al, 2008; Ramirez-Latorre



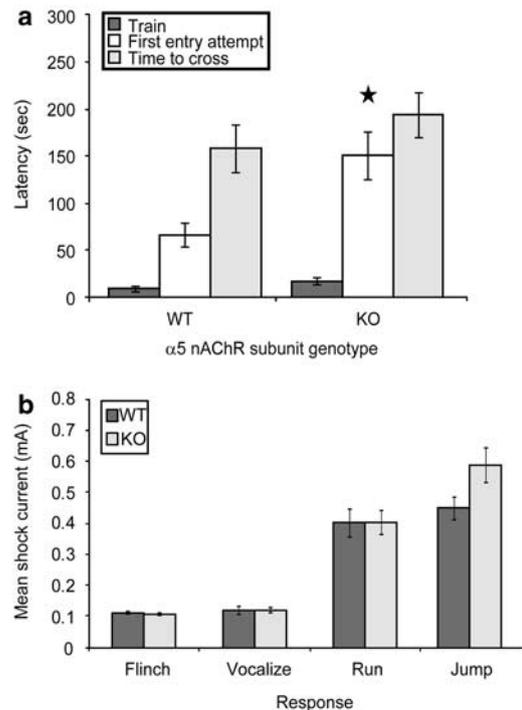
**Figure 7** ACh stimulated [ $^{86}\text{Rb}^+$ ] efflux from thalamic synaptosomes and [ $^{125}\text{I}$ ]-epibatidine binding to particulate fractions. (a) Crude thalamic synaptosomes were prepared from wild-type (WT), heterozygous (HET),  $\beta 2$  subunit knockout (KO) and tr(CT) mice. Concentration effect curves for [ $^{86}\text{Rb}^+$ ] efflux were biphasic for both WT and HET mice and absent in the KO animals. tr(CT) samples exhibited partial functional recovery relative to the KO, with high sensitivity (HS) to ACh. This HS tr(CT) component is comparable to that derived from WT animals. The high ACh sensitivity component (HS) is indicated by a long dashed line and the low ACh sensitivity component (LS) is indicated by a short dashed line. (b) [ $^{125}\text{I}$ ]-epibatidine binding to particulate fractions derived from thalamic synaptosomes from each of the genotypes indicates a progressive decline in cytosine-sensitive (CS) epibatidine binding between WT and HET mice, an absence of binding in KO samples and a partial recovery in the tr(CT) mice.



**Figure 8** ACh stimulated [ $^{86}\text{Rb}^+$ ] efflux from cortical synaptosomes and [ $^{125}\text{I}$ ]-epibatidine binding to particulate fractions. (a) Crude cortical synaptosomes were prepared from wild type (WT), heterozygous (HET),  $\beta 2$  subunit knockout (KO) and tr(CT) mice. Concentration effect curves for [ $^{86}\text{Rb}^+$ ] efflux were biphasic for both WT and HET mice and absent in the KO animals. tr(CT) samples exhibited partial functional recovery relative to the KO, with high sensitivity (HS) to ACh. This HS tr(CT) component is approximately 20% of that derived from WT animals. The high ACh-sensitivity component (HS) is indicated by a long dashed line and the low ACh sensitivity component (LS) is indicated by a short dashed line. (b) [ $^{125}\text{I}$ ]-epibatidine binding to particulate fractions derived from cortical synaptosomes from each of the genotypes indicates a progressive decline in cytosine-sensitive (CS) epibatidine binding between WT and HET mice, an absence of binding in KO samples and a partial recovery in the tr(CT) mice.

*et al*, 1996) and is important for corticothalamic  $\alpha 4\beta 2^*$  nAChR function. Significantly, [ $^{86}\text{Rb}^+$ ] efflux from thalamic synaptosomes is greatly decreased in  $\alpha 5$  subunit KO mice (Brown *et al*, 2007). Further, a nicotinic current in layer VI pyramidal neurons peaks during early postnatal development and was associated with  $\alpha 4\beta 2\alpha 5$  nAChRs (Kassam *et al*, 2008). As our hypothesis is that ACh-mediated stimulation of nAChRs on corticothalamic neurons is critical during development for appropriate passive avoidance performance, we evaluated the functional contribution of the  $\alpha 5$  nAChR subunit to this behavior. We hypothesized that the absence of the  $(\alpha 4)_2(\beta 2)_2\alpha 5$  nAChRs in corticothalamic projections would induce an increase in passive avoidance latency, although not to the extent observed in  $\beta 2$  nAChR subunit KO animals, in which both  $(\alpha 4)_2(\beta 2)_3$  and  $(\alpha 4)_2(\beta 2)_2\alpha 5$  nAChRs are absent.

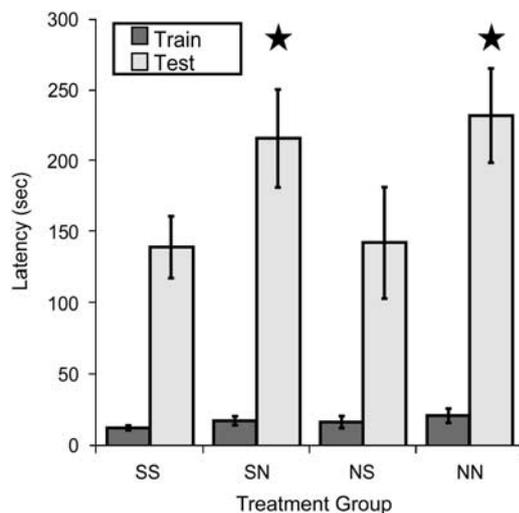
Adult  $\alpha 5$  nAChR subunit KO mice took significantly longer to attempt a cross into the dark chamber on testing day (defined as the time at which a mouse first placed its paws in the dark chamber) (Figure 9a). No differences in overall latency to successfully cross into the dark chamber or in shock reactivity were observed for these mice (Figure 9a and b). As expected, this phenotype was more moderate than that seen in  $\beta 2$  subunit KO mice, consistent with  $\alpha 5$  acting as an accessory nAChR subunit and, therefore, modulating corticothalamic nAChR function.



**Figure 9** The  $\alpha 5$  nAChR subunit modulates passive avoidance behavior. (a)  $\alpha 5$  nAChR subunit knockout mice exhibit significantly longer latency to attempt to cross into the dark chamber ( $F(1,47) = 9.529$ ,  $p = 0.003$ ;  $n = 24-25$  per genotype). (b)  $\alpha 5$  nAChR subunit knockout mice (KO) exhibit flinch, vocalize, run and jump foot shock responses at currents that were not significantly different ( $p > 0.05$ ) from wild-type controls (WT) ( $n = 24-25$  per group). \* $p < 0.05$ .

### Maternal Nicotine Exposure During a Defined Developmental Period is Sufficient to Induce Hypersensitive Passive Avoidance

The previous sets of studies demonstrated that developmental nicotine exposure results in hypersensitive passive avoidance performance and identified  $(\alpha 4)_2(\beta 2)_3$  and  $(\alpha 4)_2(\beta 2)_2\alpha 5$  nAChRs on corticothalamic neurons as sufficient for this behavioral effect of nicotine, but did not identify the critical period. Nicotine can alter synaptic plasticity in the auditory system during the second postnatal week in rats (Aramakis *et al*, 2000), a period of synaptic maturation in the thalamo-cortico-thalamic system (Aramakis *et al*, 2000; Katz and Shatz, 1996; Maffei and Turrigiano, 2008). To determine whether a similar critical period underlies nicotine-induced passive avoidance hypersensitivity, pups were cross-fostered between nicotine- and saccharin-exposed dams at birth. Pups were exposed to nicotine only until birth (NS), between birth and weaning at 21 days (SN), throughout gestation until 21 days (NN) or to saccharin exclusively (SS) and were tested for passive avoidance as adults. There was a significant effect of postnatal nicotine exposure on latency to enter the dark chamber on testing day with no effect of prenatal exposure (Figure 10). In contrast, exposure to nicotine on postnatal days 34–46 (defined as rodent adolescence) did not result in hypersensitive passive avoidance behavior (Test day mean latencies; Saccharin =  $90.31 \pm 27.18$  s; Nicotine =  $80.53 \pm 25.05$  s;  $p > 0.05$ ;  $n = 13$ –16 per group; data not shown), suggesting that the timing of exposure to nicotine during perinatal development is critical and that this is a period in

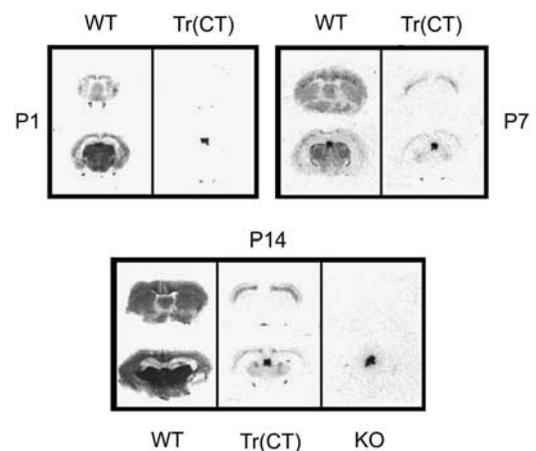


**Figure 10** Nicotine exposure during early postnatal development is sufficient to induce hypersensitive passive avoidance performance. C57BL/6J mice were cross-fostered between nicotine- and saccharin-exposed dams at birth and tested for passive avoidance as adults. Mice were exposed to nicotine throughout gestation and postnatal development to 21 days of age (NN), between birth and 21 days of age (SN), only during gestation (NS) or were exposed only to saccharin (SS). A significant main effect of postnatal nicotine exposure was detected on the test day ( $F(1, 14) = 7.511$ ,  $p = 0.016$ ;  $n = 8$  per group). No main effect of prenatal exposure and no interaction between pre- and postnatal exposure was detected. \* $p < 0.05$ .

which the thalamo-cortico-thalamic system is uniquely vulnerable to nicotine exposure. To provide convergent data from the  $\beta 2$  tr(CT) mice identifying the critical period for nAChR expression in hypersensitive passive avoidance behavior, we used [ $^{125}$ I]-epibatidine to identify the time course of assembled  $\beta 2^*$  nAChR expression in these mice (Figure 11).  $\beta 2$  tr(CT) mice showed little expression of  $\beta 2^*$  nAChRs at P1, but had progressively greater expression at P7 and P14. In addition, the pattern of expression at P7 and P14 resembled the adult pattern (Figure 3) and was only apparent in the corticothalamic pathway. This period of development (P0–P21) is also coincident with the period of highest activity of  $\alpha 5^*$  nAChRs in this circuit (Kassam *et al*, 2008).

### DISCUSSION

We show in this study that exposure to nicotine during a critical period of thalamic and cortical synapse maturation results in persistent hypersensitive passive avoidance behavior in adulthood. Developmental nicotine exposure induces the same phenotype in  $\beta 2$  tr(CT) transgenic mice that express the  $\beta 2$  nAChR subunit exclusively on corticothalamic neurons, identifying nicotinic modulation of these neurons and the descending branch of the thalamo-cortico-thalamic circuit as the site of action for developmental nicotine exposure on passive avoidance performance. Among the many possible native nAChR subunit combinations that could mediate the effect of nicotine on these neurons, we have used a combination of *in situ* hybridization, equilibrium binding, selective immunoprecipitation and ACh-stimulated rubidium efflux from synaptosomes to identify the  $(\alpha 4)_2(\beta 2)_3$  and  $(\alpha 4)_2(\beta 2)_2\alpha 5$  nAChR variants as those critical for hypersen-



**Figure 11** Corticothalamic transgenic mice do not express  $\alpha 4\beta 2^*$  nAChRs at birth, but show an adult pattern of expression starting at postnatal day 7. Expression of  $\beta 2^*$  nAChRs was determined by [ $^{125}$ I]-epibatidine binding. At postnatal day 1 (P1), the expression of  $\beta 2^*$  nAChRs in tr(CT) mice was largely absent compared with wild type (WT). By P7,  $\beta 2^*$  nAChRs were expressed by tr(CT) layer VI corticothalamic neurons and this expression level was increased further at P14.  $\beta 2^*$  nAChRs were not expressed by  $\beta 2$  nAChR subunit knockout (KO) mice at any time point (P14 shown here). Residual [ $^{125}$ I]-epibatidine binding in the medial habenula seen in KO tissue represents  $\beta 4^*$  nAChRs.

sitive passive avoidance as a defined behavioral consequence of developmental nicotine exposure. Furthermore, the increased proportion of corticothalamic  $\alpha 4\beta 2^*$  nAChRs associated with the  $\alpha 5$  subunit in  $\beta 2$  tr(CT) mice suggests that  $\beta 2$  subunit expression is selectively rescued in  $\alpha 5$  subunit-expressing cortical neurons. Consistent with a modulatory effect of the  $\alpha 5$  subunit on nAChR function in this circuit, the absence of  $(\alpha 4)_2(\beta 2)_2\alpha 5$  nAChRs in  $\alpha 5$  nAChR subunit KO mice results in a more modest passive avoidance hypersensitivity than is seen in  $\beta 2$  subunit KO mice or developmental nicotine-treated WT mice. Finally, we have identified the early postnatal period in the mouse as a window of vulnerability in which nicotine exposure can induce this persistent behavioral phenotype.

This study complements previous experiments indicating that developing, ascending thalamocortical neurons are sensitive to nicotine by showing for the first time that developing, descending corticothalamic neurons are also vulnerable to nicotine. In particular, nicotine exposure during the early postnatal period alters the N-methyl-D-aspartate (NMDA) receptor-mediated component of thalamocortical excitatory post-synaptic potentials (EPSPs) by the activation of  $\alpha 7$  nAChRs expressed by these neurons (Aramakis *et al*, 2000; Aramakis and Metherate, 1998; Liang *et al*, 2006). Persistent alterations in the mRNA encoding the NR2A and NR2B NMDA receptor subunits in the auditory cortex and associated thalamus have also been identified following nicotine exposure (Hsieh *et al*, 2002). Taken together, these data indicate that the development of thalamo-cortico-thalamic connectivity is profoundly sensitive to nicotine exposure.

In contrast to the role for the  $\alpha 7$  nAChR in nicotine-dependent changes in thalamocortical neurons, we have implicated the  $(\alpha 4)_2(\beta 2)_3$  and  $(\alpha 4)_2(\beta 2)_2\alpha 5$  nAChR variants as critical mediators of the effects of nicotine exposure on developing corticothalamic neurons. The  $\alpha 5$  nAChR subunit is of particular interest, given its ability to potentiate nAChR function as an accessory subunit (Brown *et al*, 2007; Gotti *et al*, 2009) and the significant association between the human  $\alpha 5$  nAChR subunit gene and nicotine dependence (Saccone *et al*, 2007). The current study shows that expression of  $(\alpha 4)_2(\beta 2)_3$  and  $(\alpha 4)_2(\beta 2)_2\alpha 5$  nAChRs on developing corticothalamic neurons is sufficient for the persistent effect of developmental nicotine exposure on passive avoidance performance. Further, studies in genetically manipulated mice demonstrate that corticothalamic neurons must express  $\beta 2$  and  $\alpha 5$  nAChR subunits for normal passive avoidance performance.

Layer VI corticothalamic neurons are the primary source of reciprocal cortical feedback and thus a major modulatory input to sensory thalamic relay neurons (Llano and Sherman, 2009; Reichova and Sherman, 2004) and allow the cortex to adjust the parameters of thalamic processing, and, therefore, the salience of any stimulus it subsequently receives, gating cortical stimulus input (Briggs and Usrey, 2008; Jones, 2009). Activity of these neurons enhances the relay of stimuli to the cortex by altering the gain and responsiveness of the postsynaptic relay cells and by modulating the tuning and/or receptive field properties of these neurons (Briggs and Usrey, 2008). Feedback from corticothalamic neurons is thought to be critical for modulation of thalamic activity by attention and to enhance the

reliability of stimulus-derived thalamic responses (Briggs and Usrey, 2008). Thus, alterations in the synaptic maturation of these neurons by developmental nicotine exposure would induce a phenotype in the adult that may involve changes related to sensory processing and sensory attention.

The vulnerability of the thalamo-cortico-thalamic circuitry to developmental nicotine exposure suggests that the hypersensitive passive avoidance phenotype may be a consequence of altered processing of sensory information. For example, mice that remain in the illuminated chamber for longer may have found the training footshock significantly more aversive. Although changes in passive avoidance performance could also be interpreted to indicate alterations in learning and memory processes, such changes may be an indirect consequence of nicotine exposure-mediated changes in thalamo-cortico-thalamic circuitry. For instance, the insular cortex is involved in passive avoidance training acquisition and consolidation (Miranda and McGaugh, 2004), possesses reciprocal connectivity with the thalamus (Saper, 1982) and has been classified as a somatosensory area (Miranda and McGaugh, 2004). As developmental nicotine exposure affects nAChRs on corticothalamic neurons and results in altered passive avoidance behavior, nicotine exposure-induced changes in the thalamo-insular cortical circuitry could lead to altered input processing in this brain region and contribute to the passive avoidance phenotype observed.

Consistent with a sensory processing alteration, previous studies have reported the effects of developmental nicotine exposure on pre-pulse inhibition and acoustic startle responses (Gaworski *et al*, 2004; Popke *et al*, 1997). In contrast, relatively inconsistent effects of developmental nicotine exposure have been reported in tasks that assess learning and memory (Heath and Picciotto, 2009). In addition, 3-month-old  $\beta 2$  nAChR subunit KO mice exhibit hypersensitive passive avoidance and yet no differences in performance in the Morris water maze (Picciotto *et al*, 1995). This suggests that functional ablation of the  $\beta 2$  nAChR subunit, either through genetic KO or nAChR desensitization induced by chronic developmental nicotine exposure does not induce a global change in learning and memory and, therefore, this cannot explain the hypersensitive passive avoidance phenotype.

The absence of a consistent decrease across all four measures of footshock reactivity evaluated in the developmental nicotine exposed animals in this study also suggests that this exposure affects sensory processing as opposed to stimulus detection, although the small, but significant, change in the jump response in developmental nicotine-treated  $\beta 2$  tr(CT) mice could indicate some effect of nicotine exposure during development on stimulus sensitivity. Previous studies of sensory function following nicotine exposure of rats during development have shown no auditory detection deficits (Liang *et al*, 2006) and although humans exposed to tobacco smoke during gestation also demonstrate persistent deficits in performance of cognitive tasks involving the auditory system (Fried and Makin, 1987; Fried *et al*, 1992, 1997, 1998, 2003; Jacobsen *et al*, 2007b; Kristjansson *et al*, 1989; McCartney *et al*, 1994; Picone *et al*, 1982; Saxton, 1978), there is no evidence of deficits in the detection of auditory stimuli (Trammer *et al*, 1992).

Consistent with the hypothesis that alterations in thalamo-cortico-thalamic connectivity could underlie these effects, tobacco exposure during development in humans alters the development of white matter in the internal capsule, the tract containing corticothalamic and thalamo-cortical axons, and this alteration is correlated with performance on an auditory attention task (Jacobsen *et al*, 2007a).

The hypersensitive passive avoidance phenotype observed in this study can be induced by nicotine exposure exclusively between birth and weaning, which is consistent with the window of vulnerability described for nicotine exposure of thalamocortical neurons (Aramakis *et al*, 2000; Aramakis and Metherate, 1998; Liang *et al*, 2006). As this early postnatal epoch is the period in rodent development when the synapses of the thalamo-cortico-thalamic circuitry are being refined, mechanisms that underlie modulation of synaptic properties, such as the physiological alterations observed in the thalamocortical neurons (Aramakis *et al*, 2000; Aramakis and Metherate, 1998; Liang *et al*, 2006) are likely to underlie this effect. The possibility that nAChRs modulate synaptic refinement in the early postnatal period is further supported by the identification of a current mediated by  $\alpha 5^*$  nAChRs in layer VI projection neurons that peaks during the early postnatal period in rodents and can be significantly reduced by previous nicotine exposure (Kassam *et al*, 2008). The experiments presented in this study demonstrate that lack of this nAChR in  $\alpha 5$  KO mice alters passive avoidance behavior, suggesting that this current is important in the normal maturation of the corticothalamic circuit. This study suggests that the precise physiological alterations in corticothalamic neurons induced by developmental nicotine exposure should be examined further.

In conclusion, we have identified hypersensitive passive avoidance behavior as a novel and persistent consequence of developmental nicotine exposure in the mouse. We have also identified a particular set of nAChR subtypes in the corticothalamic circuit that are responsible for hypersensitive passive avoidance behavior following early nicotine exposure. Indeed, most nAChR subunits are expressed early in neurodevelopment (Heath and Picciotto, 2009), suggesting a broad variety of neuronal subtypes and circuits are sensitive to developmental nicotine exposure; however, the current set of experiments identifies a defined neural circuit vulnerable to nicotine exposure mediated through  $\beta 2^*$  nAChRs during a discrete developmental epoch, which can induce significant and lasting alterations in behavioral reactivity to a very mild stressor in adulthood. The persistent alterations in passive avoidance in the mouse may parallel the persistent sensory processing-related changes observed in human subjects exposed to tobacco smoke *in utero* (Jacobsen *et al*, 2006, 2007b). The current study also emphasizes the prominent behavioral teratogenic effect of the nicotine within tobacco smoke. Although there are numerous differences in the timing of human and rodent neurodevelopment, human thalamo-cortico-thalamic connectivity is refined during the third trimester of pregnancy; therefore, the potential effect of nicotine on corticothalamic refinement could also add to the debate concerning the timing and the best type of smoking cessation aid for pregnant smokers.

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## DISCLOSURE

The authors declare no conflict of interest.

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